PATENT/OFFICIAL

Docket No.: 112958.120US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Harry HOLTHÖFER

Serial No. Not yet assigned

U.S. National Phase of International Application Number PCT/F100/00540

Filed:

December 14, 2001

Examiner:

Group Art Unit:

Not yet assigned

Not yet assigned

For:

USE OF SOLUBLE PROTEIN MOLECULES EXPRESSED BY THE

PANCREAS AND KIDNEY GLOMERULUS

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents Washington, D. C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Copies of the documents listed on sheets 1 and 2 of Form PTO-1449, which were cited in the prosecution of the corresponding PCT patent application, are enclosed. Further submitted herewith for consideration by the Examiner is a copy of a nucleotide sequence comparison provided by the PCT Examining Authority which

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includes the cited Kawachi et al. reference.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search had been made or that information cited is, or is considered to be, material to patentability as defined in 37 C.F.R.§ 1.56 (b), or that any cited document listed or attached is, or constitutes, prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item, and Applicant reserves the right to prove that the date of publication is in fact different.

Applicants believe no fee is due at this time as this Statement is being filed with the above-referenced application. However, the Commissioner is authorized to charge any deficiency in any fees pursuant to 37 CFR § 1.17 associated with this communication and to credit any excess payment to Deposit Account No. 08-0219.

Respectfully submitted,

Jason J. Schwartz

Registration No. 43,910

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TEL 202.942.8490

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Date: December 14, 2001

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|     | Application Number     |   |  |  |  |
|     | Filing Date            | December 14, 2001                             |  |  |  |
|     | First Named Inventor   | Harry HOLTHOFER                               |  |  |  |
|     | Group Art Unit         |   |  |  |  |
|     | Examiner Name          |   |  |  |  |
|     | Attorney Docket Number | 112958.120US1                                 |  |  |  |

|                      | U.S. PATENT DOCUMENTS    |        |                                      |                               |                              |  |  |  |
|----------------------|--------------------------|--------|--------------------------------------|-------------------------------|------------------------------|--|--|--|
| U.S. Patent Docu     |                          |        | t Document                           | Name of Patentee or Applicant | Date of Publication of       | Pages, Columns, Lines,<br>Where Relevant |  |  |
| Examiner<br>nitials* | Cite<br>No. <sup>1</sup> | Number | Kind Code <sup>2</sup><br>(if known) | of Cited Document             | Cited Document<br>MM-DD-YYYY | Passages or Relevant Figures Appear      |  |  |
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|  | FOREIGN PATENT DOCUMENTS |                     |                     |                                      |                             |                              |  |           |  |  |
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| Examiner   | er Cite<br>No.1          |                     | Foreign Patent Doc  |                                      | Name of Patentee or         | Date of Publication of       | Pages, Columns, Lines,<br>Where Relevant |           |  |  |
| Initials*  |                          | Office <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) | Applicant of Cited Document | Cited Document<br>MM-DD-YYYY | Passages or Relevant<br>Figures Appear   | T6        |  |  |
|  | A1                       | WO V                | WO 99/47562         |                                      | BIOSTRATUM, INC.            | 09-23-1999                   |  | $\square$ |  |  |
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| Examiner  | Date       |  |
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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|  |                        |    |   | Application Number     | T                 |  |  |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary) |                        |    |   | Filing Date            | December 14, 2001 |  |  |
|  |                        |    |   | First Named Inventor   | Harry HOLTHOFER   |  |  |
|  |                        |    |   | Group Art Unit         |                   |  |  |
|  |                        |    |   | Examiner Name          |                   |  |  |
| heet   | 2                      | of | 2 | Attorney Docket Number | 112958.120US1     |  |  |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |              |   |    |  |  |  |  |  |
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| Examiner<br>Initials*                             | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T2 |  |  |  |  |  |
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